



AUDIT COMMITTEE

29TH JANUARY 2019

AGENDA ITEM (8)

INTERNAL AUDIT MONITORING REPORT

Accountable Member	Audit Committee
Accountable Officer	Mrs. Jenny Poole Chief Finance Officer 01285 623313 Jenny.Poole@publicagroup.uk

Purpose of Report	To present the Audit Committee with a summary of the activity undertaken by Internal Audit since the last Audit Committee
Recommendation(s)	That the report be noted.
Reason(s) for Recommendation(s)	The progress report enables the Audit Committee to monitor the work of the Internal Audit Service and ensure that it remains effective. It also provides the Committee with assurance opinions over areas reviewed within the reporting period, details of audit recommendations and the outcome of follow up reviews on previous audit recommendations

Ward(s) Affected	Not applicable
Key Decision	No
Recommendation to Council	No

Financial Implications	As detailed within the report
Legal and Human Rights Implications	As detailed within the report
Environmental and Sustainability Implications	Not applicable
Human Resource Implications	As detailed within the report
Key Risks	The weaknesses in the control framework, identified by the audit activity, continues to threaten organisational objectives if recommendations are not implemented
Equalities Analysis	Not required

Related Decisions	None
Background Documents	Audit Reports
Appendices	Appendix 'A' - SWAP Report on Internal Audit Activity Plan Progress

Performance Management Follow Up	Performance is monitored by the Chief Finance Officer in accordance with the performance measures defined in the legal agreement.
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Background Information

1. The Internal Audit Service is provided to this Council through SWAP Internal Audit Services (SWAP). SWAP is a local authority-controlled company.
2. The SWAP report attached at **Appendix 'A'**, sets out the work undertaken by SWAP for the Council since the Committee's last Meeting. It follows the risk-based auditing principles and, therefore, this is an opportunity for the Committee to be aware of emerging issues which have resulted in SWAP involvement.
3. The Internal Audit plan for 2019/20 is being developed and, as part of the consultation process, the Committee are asked to consider and suggest any areas they feel may warrant a review by Internal Audit. Note: The audit plan is built up primarily around assurance and consultancy type work. The key component is ensuring the work focuses on areas that add value both to the Councils', and Publica's, assurance arrangements and the delivery of objectives and priorities.
4. Officers from SWAP will be in attendance at the Committee meeting and will be available to address Members' questions.

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